

# United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/068,533	02/05/2002	Robert H. Dahla	CB-11-1 9992	
21394 7	590 12/07/2006	EXAMINER		NER
ARTHROCARE CORPORATION			PEFFLEY, MICHAEL F	
680 VAQUEROS AVENUE SUNNYVALE, CA 94085-3523			ART UNIT	PAPER NUMBER
	,		3739	
	•		DATE MAILED: 12/07/2006	5

Please find below and/or attached an Office communication concerning this application or proceeding.

		Application No.	Applicant(s)			
Office Action Summary		10/068,533	DAHLA ET AL.			
		Examiner	Art Unit			
		Michael Peffley	3739			
Period fo	The MAILING DATE of this communication apports Reply	ears on the cover sheet with the c	correspondence address			
WHI( - Exte after - If NO - Failu Any	ORTENED STATUTORY PERIOD FOR REPLY CHEVER IS LONGER, FROM THE MAILING DANSIONS of time may be available under the provisions of 37 CFR 1.13 SIX (6) MONTHS from the mailing date of this communication. O period for reply is specified above, the maximum statutory period we are to reply within the set or extended period for reply will, by statute, reply received by the Office later than three months after the mailing ed patent term adjustment. See 37 CFR 1.704(b).	ATE OF THIS COMMUNICATION 36(a). In no event, however, may a reply be tin will apply and will expire SIX (6) MONTHS from the cause the application to become ABANDONE	the mailing date of this communication.  ED (35 U.S.C. § 133).			
Status						
1)[🔀	Responsive to communication(s) filed on 25 Se	entember 2006				
2a)□		action is non-final.				
3)	,—					
٠,۵	closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213.					
Disposit	ion of Claims	m parto Quayro, 1000 C.D. 11, 11				
_		P				
4)🖂	Claim(s) 1-24 and 26-52 is/are pending in the application.					
<b>E</b> \_	4a) Of the above claim(s) <u>1-11,13-21 and 27-50</u> is/are withdrawn from consideration.					
	) Claim(s) is/are allowed.					
	Claim(s) <u>12,22-24,26,51 and 52</u> is/are rejected.					
	Claim(s) is/are objected to.					
8)[_	Claim(s) are subject to restriction and/or	r election requirement.				
Applicat	ion Papers					
9)[	The specification is objected to by the Examine	r.				
10)	The drawing(s) filed on is/are: a) ☐ acce	epted or b) $\square$ objected to by the	Examiner.			
	Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).					
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).						
11)	11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.					
Priority (	under 35 U.S.C. § 119					
<ul> <li>12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).</li> <li>a) All b) Some * c) None of:</li> <li>1. Certified copies of the priority documents have been received.</li> </ul>						
	2. Certified copies of the priority documents have been received in Application No					
	3. Copies of the certified copies of the priority documents have been received in this National Stage					
	application from the International Bureau	ı (PCT Rule 17.2(a)).				
* See the attached detailed Office action for a list of the certified copies not received.						
Attachmen	it(s)					
1) Notice of References Cited (PTO-892)  4) Interview Summary (PTO-413)						
2) Notice of Draftsperson's Patent Drawing Review (PTO-948)  3) Information Disclosure Statement(s) (PTO/SB/08)  Paper No(s)/Mail Date  5) Notice of Informal Patent Application						
B) Information Disclosure Statement(s) (PTO/SB/08)  Paper No(s)/Mail Date  5) Notice of Informal Patent Application  6) Other:						
•	· · · · · · · · · · · · · · · · · · ·					

**Art Unit: 3739** 

#### Continued Examination Under 37 CFR 1.114

A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on September 25, 2006 has been entered.

The cancellation of claim 25 is acknowledged, and claims 1-11, 13-21 and 27-50 remain withdrawn as being directed to a non-elected invention.

The text of those sections of Title 35, U.S. Code not included in this action can be found in a prior Office action.

# Claim Rejections - 35 USC § 103

Claims 12, 22, 23, 51 and 52 are rejected under 35 U.S.C. 103(a) as being anticipated by Edwards et al (5,507,743) in view of the teaching of McGaffigan et al (5,873,877).

Edwards et al disclose an ablation device comprising an elongate probe (22 – Figure 4a) having active and return electrodes (12,14) at the distal end. Column 5, lines 15-16 disclose the return electrode as having 4 or fewer coils, and column 1, lines 58-60 disclose that temperatures of greater than 45 degrees Celcius are used to treat tissue. The specific energy level necessary to create such a temperature in tissue is deemed to be obvious to one of ordinary skill in the art. The step of providing the electrodes to tissue and delivering energy from the active to the return electrode is fully supported in

**Art Unit: 3739** 

the Edwards et al disclosure. The return electrode having the turns inherently has a larger surface area than the active electrode since it is longer. The Edwards et al return electrode has gaps through which a fluid may flow. Edwards et al further teach that an aspiration means may be provided to aspirate tissue ablated with the needle electrodes (col. 4, lines 1-8), but fails to specifically show the aspiration means on the probe.

McGaffigan et al disclose an analogous probe that includes a plurality of electrodes deployable from the probe for the ablation of tumor tissue. In particular, McGaffigan et al teach that it is known to provide such a device with a suction port (73) for aspirating tissue being treated with the electrodes (col. 8, lines 1-5).

To have provided the Edwards et al device with an aspiration port on the probe handle for aspirating tissue ablated with the needle electrodes would have been an obvious modification for one of ordinary skill in the art in view of the teaching of McGaffigan et al.

Claims 24 is rejected under 35 U.S.C. 103(a) as being unpatentable over Edwards et al ('743) and McGaffigan et al ('877) in view of the teaching of Stevens-Wright et al (5,715,817).

The combination of the McGaffigan et al teaching with the Edwards device has been previously addressed. Edwards et al fail to specifically disclose the use of multiple lumens for providing the active and return electrodes to the distal end of the device.

Art Unit: 3739

Stevens-Wright et al disclose an electrosurgical device, and specifically teach that electrode leads may be provided through individual channels (see Figures 9-13) and connected to electrodes.

To have provided the Edwards et al device, as modified by the teaching of McGaffigan et al, with multiple lumens for supporting the multiple electrodes/leads provided at the distal end of the device would have been an obvious modification for one of ordinary skill in the art in view of the teaching of Stevens-Wright et al.

Claim 26 is rejected under 35 U.S.C. 103(a) as being unpatentable over Edwards et al ('743), McGaffigan et al ('877) and Stevens-Wright et al ('817) and further in view of the teaching of Imran et al ('554).

Edwards et al fail to specifically disclose making the catheter probe from a polyurethane extrusion. Again, the examiner maintains that the use of any well-known materials for making probes would be an obvious design consideration.

Imran et al, as addressed previously, disclose a probe device very much analogous to the Edwards et al probe. In particular, Imran et al disclose that the probe is made from a polyurethane extrusion (col. 2, line 64 through col. 3, line 2).

To have fabricated the Edwards et al device from any well known flexible polymer, including an extruded polyurethane, would have been an obvious design consideration for one of ordinary skill in the art, particularly since Imran et al teach that it is known to make analogous probes from such a material.

**Art Unit: 3739** 

## **Double Patenting**

The nonstatutory double patenting rejection is based on a judicially created doctrine grounded in public policy (a policy reflected in the statute) so as to prevent the unjustified or improper timewise extension of the "right to exclude" granted by a patent and to prevent possible harassment by multiple assignees. See *In re Goodman*, 11 F.3d 1046, 29 USPQ2d 2010 (Fed. Cir. 1993); *In re Longi*, 759 F.2d 887, 225 USPQ 645 (Fed. Cir. 1985); *In re Van Ornum*, 686 F.2d 937, 214 USPQ 761 (CCPA 1982); *In re Vogel*, 422 F.2d 438, 164 USPQ 619 (CCPA 1970); and *In re Thorington*, 418 F.2d 528, 163 USPQ 644 (CCPA 1969).

A timely filed terminal disclaimer in compliance with 37 CFR 1.321(c) may be used to overcome an actual or provisional rejection based on a nonstatutory double patenting ground provided the conflicting application or patent is shown to be commonly owned with this application. See 37 CFR 1.130(b).

Effective January 1, 1994, a registered attorney or agent of record may sign a terminal disclaimer. A terminal disclaimer signed by the assignee must fully comply with 37 CFR 3.73(b).

Claims 12, 22-24, 26, 51 and 52 are rejected under the judicially created doctrine of obviousness-type double patenting as being unpatentable over claims 1-124 of U.S. Patent No. 6,837,888. Although the conflicting claims are not identical, they are not patentably distinct from each other because providing the electrodes as movable electrodes to afford more flexibility in treating tissue is deemed an obvious design consideration for one of ordinary skill in the art.

Claims 12, 22-24, 26, 51 and 52 are provisionally rejected under the judicially created doctrine of obviousness-type double patenting as being unpatentable over the pending claims of copending Application No. 10/072,599. Although the conflicting claims are not identical, they are not patentably distinct from each other because the use of a spacer to maintain electrical separation of the active and return electrodes is deemed an obvious design consideration for one of ordinary skill in the art.

Art Unit: 3739

This is a <u>provisional</u> obviousness-type double patenting rejection because the conflicting claims have not in fact been patented.

## Response to Arguments

Applicant's arguments with respect to the rejected claims have been considered but are most in view of the new ground(s) of rejection.

The Abele et al reference has been withdrawn in view of applicant's amendment which added the limitation of a gap adapted to allow the electroconductive fluid to flow therethrough. Abele et al provides the coil electrode on a catheter body with no openings, therefore no fluid may flow through the coil.

With respect to the Edwards et al reference, Edwards et al specifically teach of providing aspiration for the removal of tissue. The McGaffigan et al reference is cited to more clearly show the relationship of the aspiration port on the probe.

Applicant has indicated a Terminal Disclaimer will be filed to obviate the provisional double patenting rejection, but has not addressed the double patenting rejection involving US Patent No. 6,837,888.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Michael Peffley whose telephone number is (571) 272-4770. The examiner can normally be reached on Mon-Fri from 6am-3pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Linda Dvorak can be reached on (571) 272-4764. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Art Unit: 3739

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

Primary Examiner
Art Unit 3739

mp

December 4, 2006